



SGG Fund and Investor Solutions

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About SGG

SGG Group is a leading fund and investor services firm providing a comprehensive range of compliance, administration and asset services to alternative investment funds, international companies, HNW families and entrepreneurs.

SGG has grown rapidly over the past five years through a combination of strong organic growth and an ambitious buy-and-build strategy that has allowed the firm to become the 4th leading investor services firm in the world. We are located in select fund domiciles and our experienced team provides a comprehensive value proposition adapted to alternative fund managers and their investors operating in a changing environment.

SGG at a glance



Assets under
Administration
USD 400 bn+



Established in
1896



Servicing platform
24 countries+



Funds under
Administration
600+



Worldwide
ranking
4th Global T&Cs¹



We support
6 out of the top 10
Private Equity firms in
the world²

¹ Trust and Corporates Business worldwide (by revenue for 2016). Source: External consultant and expert interviews

² Private Equity International - PEI300 survey

Our core businesses

SGG has embarked on a growth journey to become a global leader in the fund and investor services sector. Our growth plan is based on strong organic growth and an ambitious buy-and-build strategy, which has already proven to be effective in the last two years. A number of strategic acquisitions have been made which have consolidated our position as the leading global fund and investor services administrator.

AUGENTIUS

ENGINEERS OF DETAIL

Augentius is one of the largest global providers of alternative investment solutions to the Private Equity and Real Estate communities. Augentius services 13 jurisdictions with operational capabilities in the United Kingdom, United States, Guernsey, Luxembourg, Hong Kong, Singapore, Jersey, Mauritius and The Netherlands



Lawson Conner is a leading London-based provider of regulatory infrastructure software and managed compliance services to the global investment industry.



Iyer Practice is a family-run business, offering a number of advisory and compliance solutions to corporates, institutionals, family offices and private clients in Singapore and Hong Kong.

MOORE

Moore Management forms part of the First Names Group and is licensed to provide fund administration services in Jersey, Guernsey, The Isle of Man, Bermuda and Japan.



Cim Global Business (now rebranded SGG Mauritius) is the leading investor services firm in Mauritius with Assets under Administration exceeding USD 150 bn.



Equitis is a French-based investor services firm which provides fund, AIGM and trust administration services.

Why SGG?

Thanks to our **entrepreneurial culture** we are extremely flexible and able to adapt to a constantly changing environment

Experts in the administration of a broad spectrum of private capital investment vehicles and structures, such as buyout, venture capital, private equity, real estate, mezzanine, debt, hedge funds, mutual funds, infrastructure, crypto currency and fund of funds

Commitment to quality: **ISAE 3402 & SSAE 18 certified** in key fund domiciles

Our **highly experienced professionals** work closely with our clients to ensure the highest level of quality service

Full range of solutions offered to fund managers including **fund launch, fund administration, compliance, AIFM and depositary**

Top 3 global specialist administrator to the alternative investment community



“

SGG has provided excellent support to us in the Netherlands. IPM works with blue-chip investor clients, and SGG has shown us that they possess the highest levels of professionalism that is required to help us meet their needs. We have found the team to be very responsive, and willing and able to work towards our frequently ambitious timetables and plans. We would therefore not hesitate to work with SGG again in the future.

”

Andrew Garman
Partner at InfraPartners Management LLP

Our people

Our people are our main asset and underpin the value and the growth of our company. We constantly recruit new talents and nurture our existing ones with the objective of becoming the leading investor services company in the world. We lead our people in a context of entrepreneurship and strive for results so that they can make a real impact on our clients and their businesses.



2450 people+
across 4 continents



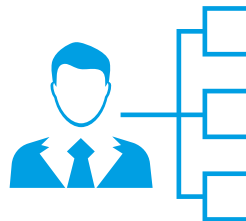
70%
of our colleagues are
qualified professionals



7285 hrs
hours of training



Stable teams supporting
clients on average
7 years+

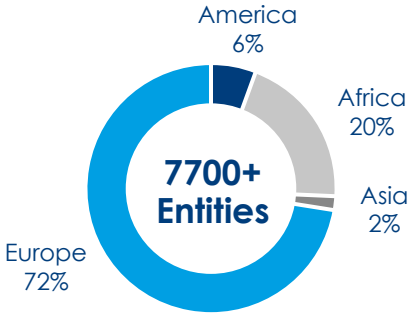
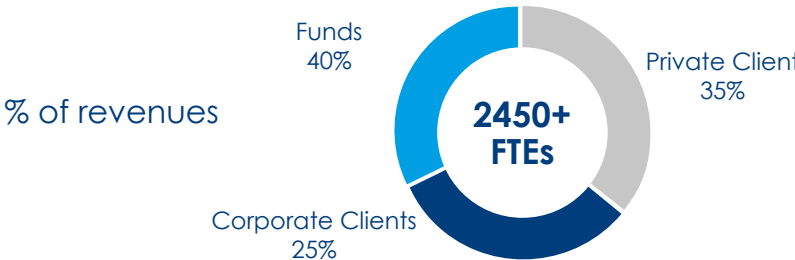
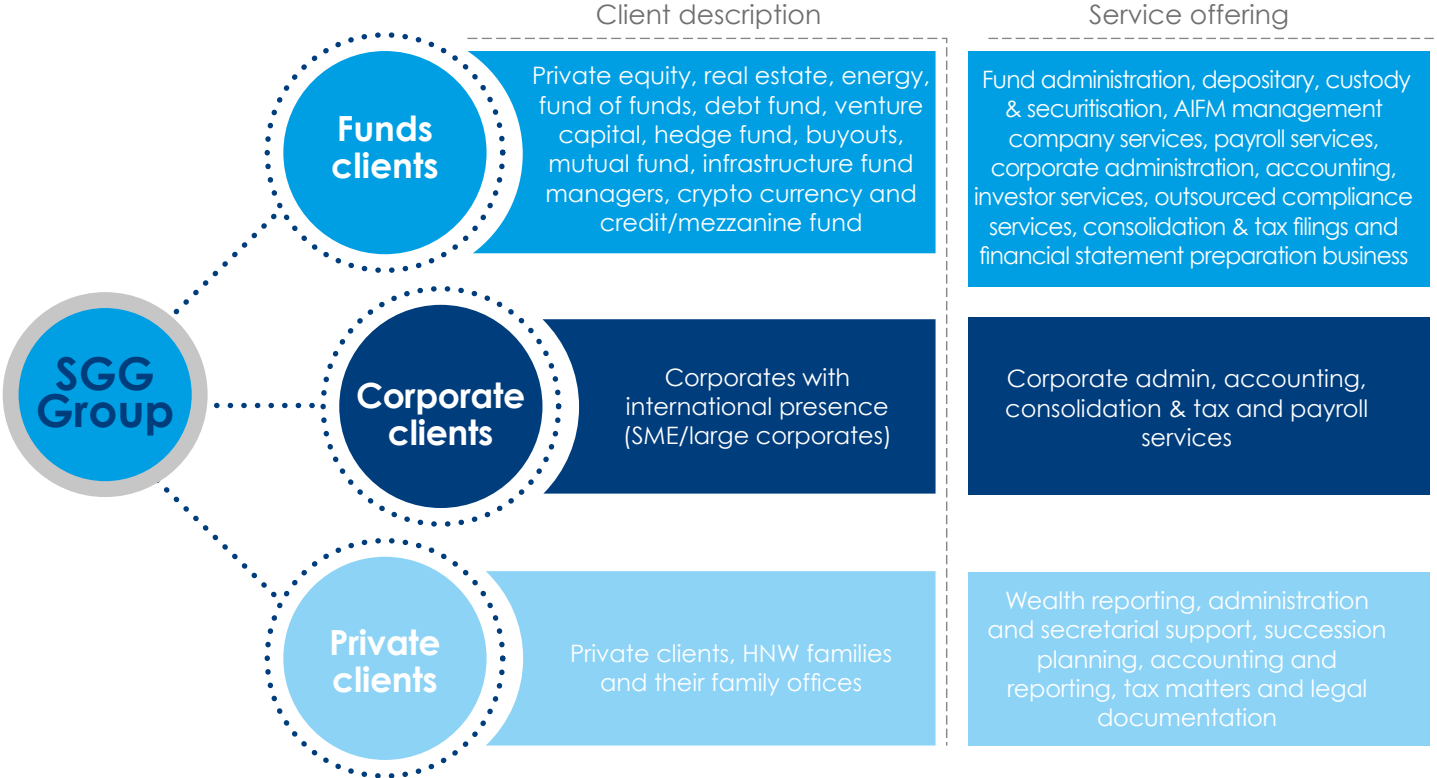


10 years+
industry experience for
our client directors



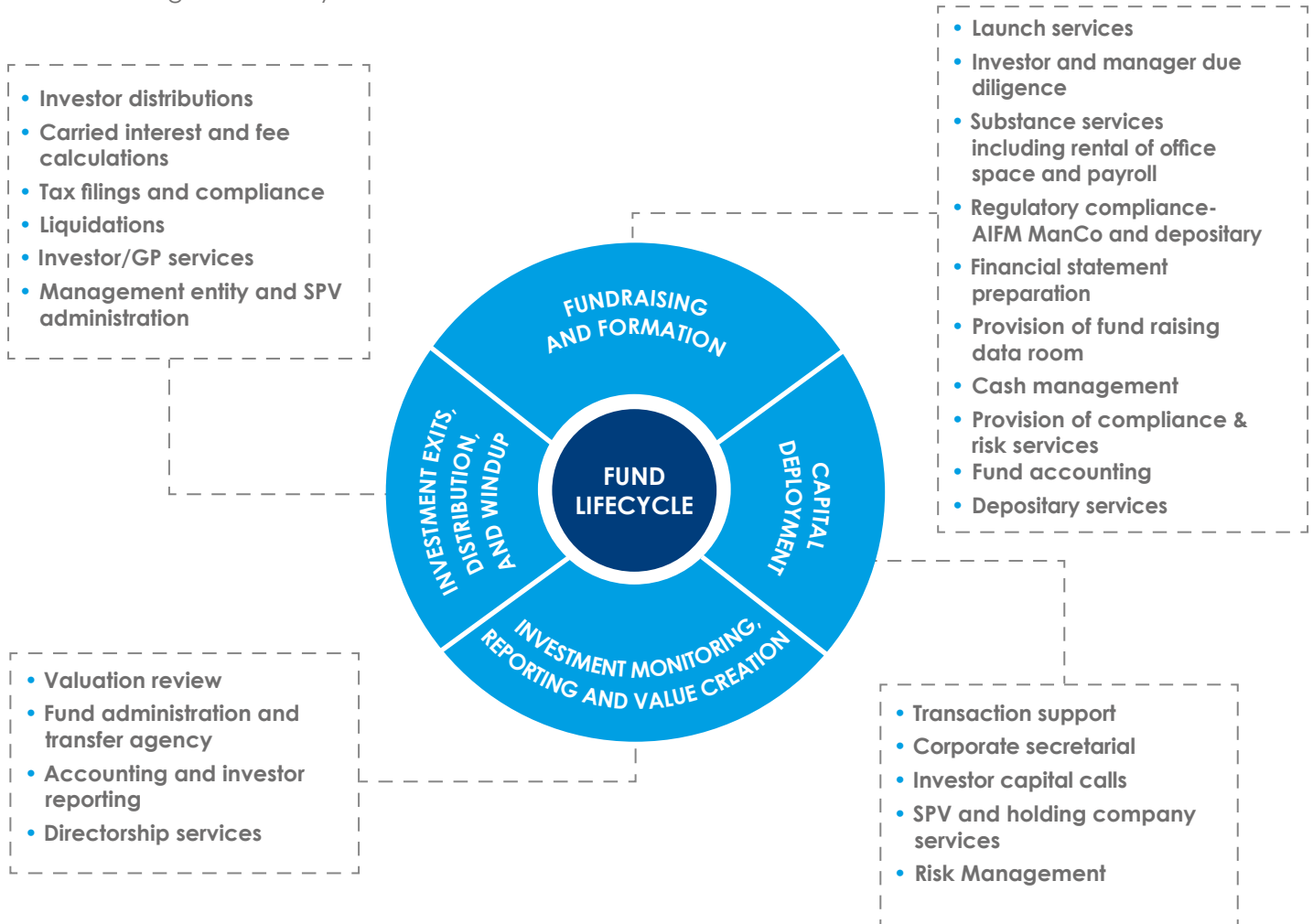
15 years+
experience for our
Heads of Onboarding &
Delivery

Our service offering



SGG, your partner throughout the fund lifecycle

Our dedicated and international team provides a full-fledged service for the structuring and administration of a fund throughout its lifecycle.





“ What I like most with Augentius is to work with a stable core team in a cooperative, personal but professional way and always meeting agreed timetables and deadlines. ”

John Roelofsen
Finance Director at Bencis Capital Partners

Value-added solutions



AIFMD reporter

- One of the first administrators to develop a full solution for reporting across all 28 markets in Europe
- Allows reporting across multiple countries from a single point of entry
- Utilising our in-house technical expertise
- Use of sophisticated technology
- AIFMD Reporter is of particular value to Managers marketing funds via the Private Placement regime



Compliance services

- Full Compliance "Health Check"
- FCA authorisation and approval process
- Documentation of procedures in full compliance with AML regulations
- Provision of Money Laundering Reporting Officer
- Establishment of breach reporting processes
- Establishment of Anti-Bribery & Corruption Policy with regular reminders to employees
- Establishment of procedures for screening against sanctions lists
- Periodic compliance reviews of statutory company records
- Documentation of Compliance Monitoring Plan
- Regtech solutions to deliver outsourced compliance & regulatory solutions to managers.
- Provide an adaptable and customisable compliance software that helps clients manage their regulatory activities effectively and efficiently



Tax compliance

- Assist with the review of fund structures to determine "Financial Institutions"
- Provide Responsible Officer or Point of Contact for the registration process
- Assist with the implementation of procedures
- Establish the FATCA/CRS status of each new account holder, collating and storing documentation, and review of residence or citizenship
- Provide reporting and audit trails along with due diligence assistance and support



Investor solutions

We offer leading edge technology and expertise allowing investors to turn data into accessible and formatted intelligence. This enables investors to accurately provide real transparency to assess different asset classes, portfolios, funds and investments. Our services include:

- Cutting-edge portfolio analytics platform
- Online access to dynamic portfolio information
- Cash management services & cash forecast reporting
- Valuations
- Internal & external portfolio reporting
- Data processing & collection services
- Tax collection processing
- Portfolio accounting
- Asset look-through reporting

At the service of private equity, real estate, hedge fund, mutual fund and debt fund managers



How we are different ...

Dedicated services: Specialised team for each asset class to ensure the highest level of service.

A to Z solutions for fund managers: Our services include fund implementation, administration, AIFM and depositary for funds, SPVs, trusts, management companies and carry vehicles.

Strong experience: We have expertise in providing a full suite of AIFMD compliant services depositary services.

Extremely flexible: We adapt to the constantly changing environment, ensuring best in breed services and solutions.

State-of-the-art technological platform: We invest in top tier funds software platforms such as efront, Investran, Netsuite and Paxus.

Team of experienced professionals across different jurisdictions: We have a team of over 2450 professionals in 24 locations.

Sectors/asset classes we serve

| | |
|-----------------|-----------------|
| Buyouts | Mezzanine |
| Crypto currency | Mutual fund |
| Debt | Private equity |
| Energy | Real estate |
| Fund of funds | Venture capital |
| Hedge | |
| Infrastructure | |



We operate in all key fund domiciles

| | |
|-----------|-----------------|
| Bermuda | Isle of Man |
| Cayman | Jersey |
| Curaçao | Luxembourg |
| Cyprus | Mauritius |
| France | Singapore |
| Guernsey | South Africa |
| Hong Kong | The Netherlands |
| India | USA |
| Ireland | UK |





“

We have been working with SGG for more than 10 years and their professionalism is commendable. They are very efficient, courteous and attend to all our inquiries in an expeditious manner. We find them truly committed to customer satisfaction and we would without hesitation recommend SGG

”

Actis

SGG's distinctive expertise

Investment in state-of-the-art technology

We have invested in some of the most advanced software solutions to provide our clients with the best systems on the market.



Awards



Shortlisted -
Best Fund Administrator 2018



Shortlisted -
Best Fund Administrator 2018
for over AUA USD 30 bn



European Personality
of the Year:
Serge Krancnblum,
SGG Group CEO



SGG Group voted
the Best Fund
Administrator in Africa for
Private Equity 2018

SGG, active member of industry associations

SGG is an active member of a number of industry associations including:



Our fund team



Justin Partington
Group Fund Solutions Leader

 Justin.Partington@sgggroup.com

Justin is the Group Fund Solutions Leader and a member of the Group Management Board.

He is based at the Group's headquarters in Luxembourg, where he is in charge of its global offering for alternative asset managers. He is responsible for all fund solutions for private equity, real estate and hedge fund clients, across all jurisdictions focusing on central administration, AIFM, depositary and SPV administration services.

Justin has over 19 years of international experience in the fund services and private equity industries, including senior postings in Canada, UK, Cayman Islands and Guernsey.



J.P. Harrop
Group Head of Sales

 jp@augentius.com

J.P. is the Group Head of Sales and is based in London. He joined Augentius in 2002 as one of the founders of the business having previously been with the Bank of Bermuda Ltd and PwC in Bermuda and Canada. He has a background in servicing clients across Private Equity, Fund of Funds and Traditional Funds, providing accounting, administration, share registration, custody and related services.

A Canadian-qualified accountant, J.P. has over 20 years of industry experience having led sales team in London, New York, Hong Kong and Singapore.



Stuart Pinnington
Group Fund Client Services Leader

 stuart.pinnington@firstnames.com

Stuart is the Group Fund Client Services Leader and is based in the Jersey office. Prior to joining the Group in 2018, Stuart amassed a wealth of industry experience through a number of senior leadership roles, most recently as Group Holdings Director Head of Funds and Corporate for a well-respected Jersey based firm. He has previously worked in the UK, the Caribbean and the Channel Islands, both as a corporate funds lawyer and a corporate director and managing director.



Gerhard Grueter
Group Compliance Solutions Leader

 ggrueter@lawsonconner.com

Gerhard was previously the Managing Director at Lawson Conner and he has taken up a new responsibility within the combined business as Group Compliance Solutions Leader. He is based in London and has a strong background in alternative funds, both as an advisor and as a principal investor in liquid and illiquid asset classes. Gerhard is the former Vice President in investment banking at Merrill Lynch and former Partner at Ironshield Capital. Gerhard is a frequent panelist and speaker at hedge fund conferences and author and co-author of a number of industry publications.



Hugh Stacey
Group Fund Product Development Leader

 hugh@augentius.com

Hugh is the Group Fund Product Development Leader, and is based in London. After serving five years in the British Army and leaving as Captain, Hugh initially spent seven years at Augentius, where he was based in the London office, where he assisted in building up the Sales team for EMEA.

He then moved to Singapore as Managing Director of Asia where he built the firm's operations in the region. In 2013, Hugh joined an alternative investment manager based in Singapore, Vulpes Investment Management, as Managing Director, where he was responsible for all commercial activities as well as product development and corporate strategy, before re-joining Augentius.



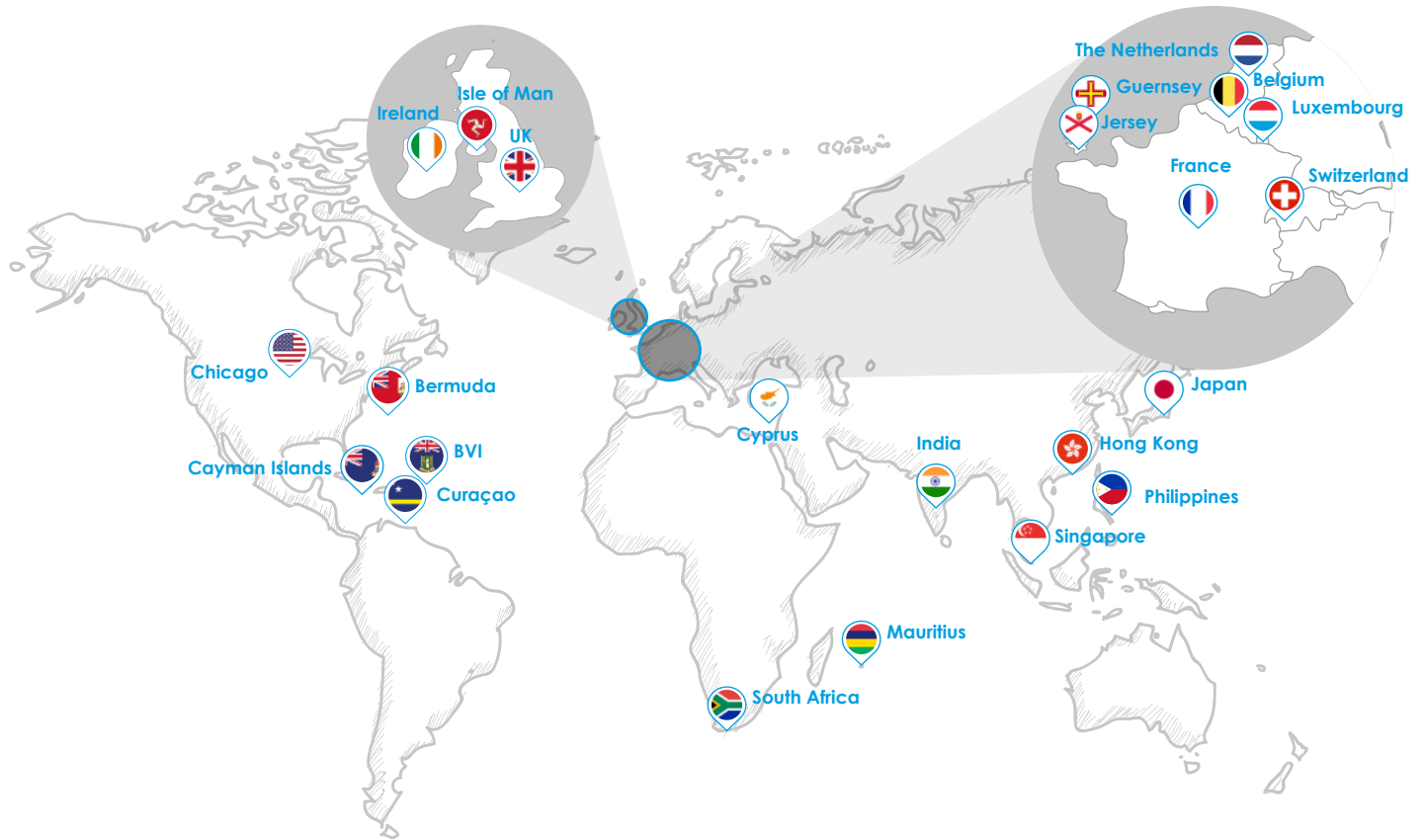
“

We have used a number of companies in the Netherlands as our partners over the years, so we have no hesitation in recommending SGG. SGG's level of detail, willingness to work weekends, flexibility on travel and experience has outshone all its competitors, that SGG has become our exclusive provider over the last three years.

”

White Star

Our worldwide locations



SGG offices

Belgium
 Bermuda
 British Virgin Islands
 Cayman Islands
 Chicago

Curaçao
 Cyprus
 France
 Guernsey
 Hong Kong

India
 Isle of Man
 Ireland
 Japan
 Jersey

Luxembourg
 Mauritius
 The Netherlands
 Philippines
 Singapore

South Africa
 Switzerland
 United Kingdom
 USA

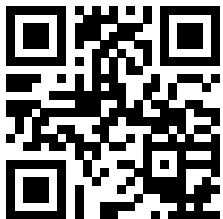
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